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PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

March 7, 2006

Commissioner For Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

> Re: Our File: TRAUMA 3.3-437

Appln. No. 10/532,180

Filing Date: November 14, 2005

Group Art Unit: 3731

Dear Sir:

We are enclosing herewith copies of the filing receipt, executed Declaration and Application Data Sheet for the above-identified patent application.

Please note that the third (3rd) line of the "Applicant(s)" section should read as follows: -- Urs Bronimann, Biel, SWITZERLAND--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ Reg. No. 25,428

AHK/ls Enclosures



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Viginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/532,180	11/14/2005	3731	1030	TRAUMA 3.3-437	6	14	2

CONFIRMATION NO. 6181

000530 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST WESTFIELD, NJ 07090 FILING RECEIPT

OC000000018042805

Date Mailed: 02/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Roland Kebel, Selzach, SWITZERLAND; Christoph Rusch, Biel, SWITZERLAND; Urs Bronimann, Rue Centrale 115, SWITZERLAND;

Power of Attorney: The patent practitioners associated with Customer Number <u>00530</u>.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CH03/00718 11/03/2003

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02405938.8 11/04/2002

Projected Publication Date: 05/25/2006

Non-Publication Request: No

Early Publication Request: No

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Title

Orthopaedic ratcheting forceps

Preliminary Class

606

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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MAR 13 2006 WAR 13

		•		DTO/CD/04 (00 04)			
		U.S. Patent and T	rademark Office; L	PTO/SB/01 (03-01) hrough 10/31/2002. OMB 0651-0032 J.S. DEPARTMENT OF COMMERCE It contains a valid OMB control number			
		Attorney Docket Nur		MA 3.3-437			
	DECLARATION FOR UTILITY OR DESIGN						
-	APPLICATION	First Named Inventor Roland Kebel					
	CFR 1.63)						
	_	Application Number					
Declaration Submitted	X Declaration Submitted after Initial	Filing Date	Concurrent	currently Herewith			
with Initial OR	Filing (surcharge	Group Art Unit	N/A				
Filing	(37 CFR 1.16 (e)) required)	Examiner Name	Not Yet Assigned				
As a below named in	nventor, I hereby declare th	nat:					
My residence, mailing a	ddress, and citizenship are as st	ated below next to my nai	ne.				
I believe I am an origina invention entitled:	l and first inventor of the subject	matter which is claimed a	nd for which a p	patent is sought on the			
ORTHOPAEDIC	ORTHOPAEDIC RATCHETING FORCEPS						
the specification of w	· ·	Title of the Invention)	-				
is attached her	•						
OR			*				
X was filed on (M	IM/DD/YYYY) 11/03/200	23 as United States	Application Nu	imber or PCT International			
Application PC	T/CH2003/000718 and w	as amended on (MM/DI	omm	(if applicable).			
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.							
•	to disclose information which is		s defined in 37 (CFR 1.56, including for			
continuation-in-part app	lications, material information wh	nich became available bet	ween the filing o				
the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign							
application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy Attached?			
Number(s) 02045938.8	Country	(MM/DD/YYYY) 11/04/2002	Not Claimed	YES NO			
02043536.6	Lr	1170-42002					
Additional foreig	n application numbers are listed	on a supplemental priori	ty data sheet PT	O/SB/02B attached hereto:			

• •	plus sign (+) inside this box —	ت ،	d to meno	U.S. Patent and Tradem	nark Office; U.:	PTO/SB/01 rough 10/31/2002. OMB 065 S. DEPARTMENT OF COMP	1-0032 MERCE	
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. DECLARATION — Utility or Design Patent Application								
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Name								
Address		·			<i>;</i>			
City				State	ZIP			
Country		Telephone	<u> </u>		Fax			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF S	NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor							
Given Name (first and mid	die [if any]) /7 //7	Roland		Family Name or Surname		Kebel		
Inventor's Signature	X Walle				Date 3	2.5.200	<u></u>	
Residence: C	Selzach ity	State	Country	Switzerland	Citizenship	Switzerland		
Mailing Address:	Bohnackerweg 1					·		
City	Selzach	State	ZiP ·	CH-2545	Country	Switzerland		
NAME OF S	ECOND INVENTOR:			A petition i	has been filed	for this unsigned inventor		
Given Name (first and mid	die [if any])	Christoph		Family Name or Surname		Rusch		
Inventor's Signature	X DE				Date 10	0.5.05		
Residence: C	Biel Ity	State	Country	Switzerland	Citizenship	Switzerland		
Mailing Address:	Rue Centrale 115							
City	Biel	State	ZIP	CH-2503	Country	Switzerland		

LD-537\

supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PTO/SB/02A (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DEC	LARATION	Supplemental Sheet	Page 1 of 1				
Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor							
Given Na	me (first and middle (if any))			Family Name or Sumame			
	Urs		<u> </u>	Brönimann			
Inventor's Signature	Commod	Y		Date 10.5, 2005			
Biel Residence: City	State	Switzerland		Switzerland Citizenship			
Mailing Address: Rue Ce							
Biel City	State	Zip	CH-2503	Switzerland Country			
Name of Additional Jo	int Inventor, if any:		A petition	has been filed for this unsigned inventor			
Given Na	me (first and middle (if any))			Family Name or Surname			
			1				
Inventor's Signature	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·	Date			
Residence: City	State	Country		Citizenship			
Mailing Address:							
City	State	Zip		Country			
Name of Additional Jo	int Inventor, if any:	A petition has been filed for this unsigned inven		has been filed for this unsigned inventor			
Given Na	me (first and middle (if any)))		Family Name or Surname			
			<u> </u>				
Inventor's Signature		· · · · · · · · · · · · · · · · · · ·		Date			
Residence: City	State	Coun	try	Citizenship			
Mailing Address:							
City State		Zip		Country			
Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor							
Given Name (first and middle (if any)) Family Name or Surname							
Inventor's Signature Date							
Residence: City State		Country		Citizenship			
Mailing Address:							
City	State	Zip		Country			

MAR 13 2006 CONTRACTOR DATA Sheet

Application Information

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: ORTHOPAEDIC RATCHETING FORCEPS

Attorney Docket Number:: TRAUMA 3.3-437

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 1

Total Drawing Sheets:: 6

Small Entity?:: No

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority Type:: Inventor

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Switzerland

Postal or Zip Code of mailing address::

CH-2503

Correspondence Information

Correspondence Customer Number::

000530

Representative Information

Representative Customer Number::

000530

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::	
This Application	National Stage of	PCT/CH2003/000718	11/03/03	

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::	
European Patent Office	02045938.8	11/04/02	Yes	

Assignee Information

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2545